

18 NCAC 12 .1319 THREE YEAR TIME PERIOD FOR MAINTENANCE OF RECORDS RELATED TO INVESTIGATIONS FOR PERSONS WHO FILED PURSUANT TO G.S. 120C-800(B) OR G.S. 120C-800(D)

Persons who are required to file with the Department and did file pursuant to G.S. 120C-800(b) or G.S. 120C-800(d) subject to Rule .1317 of this Chapter shall maintain such records until the earlier of the following:

- (1) Department ceases to exercise jurisdiction over the records; or
- (2) Three years from the later of:
 - (a) Receipt of notice that the investigation has been closed and that no further action will be taken by the investigating authority and no other related investigation or inquiry is open; or
 - (b) Termination or closure of any judicial or quasi-judicial proceeding related to the investigation or inquiry.

History Note: Authority G.S. 120C-101(a); 120C-101(b); 120C-200; 120C-206; 120C-207; 120C-215; 120C-400; 120C-401; 120C-402; 120C-403; 120C-404; 120C-600; 120C-603; 120C-800; Temporary Adoption Eff. January 1, 2007; Eff. December 1, 2007; Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017.